





## PLAINTIFF'S BRIEF IN OPPOSITION TO SUMMARY JUDGMENT

Written and submitted by Plaintiff James Blau, in pro per

Mailed April 29, 2019

## SUPPLEMENTAL BRIEF IN OPPOSITION TO SUMMARY JUDGMENT

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#### UNITED STATES DISTRICT COURT

EASTERN DISTRICT OF MICHIGAN James E. Blau CLERK'S OFFICE DETROIT Plaintiff.

٧.

Case No. 2:16-cv-13971

Hon. Arthur J. Tarnow

Mag. Elizabeth A. Stafford

Angela Fortescue & Heidi Washington

Defendants.

James E. Blau #214995 Lakeland Correctional Facility Assistant Attorney General 141 First Street Coldwater, MI 49036

Eric M. Jamison State Operations Division P.O. Box 30754 Lansing, MI 48909

#### SUPPLEMENTAL BRIEF IN OPPOSITION TO SUMMARY JUDGEMENT

#### **BACKGROUND**

- On June 5, 2016, after months of severe unstable angina, Plaintiff Blau had a consultation with cardiologist Dr. Cathy Glick. Dr. Glick ordered a heart catheterization and a low fat, low cholesterol, low sodium diet for Blau.
- On June 15, 2016, Blau suffered a severe myocardial event and underwent an emergency heart surgery where a 90% blockage was discovered in his right coronary artery. Cardiovascular Surgeon Jonovich diagnosed athersclerosis (CAD: coronary artery disease), placed a stent in the blockage, and ordered a low fat, low cholesterol 2000mg sodium diet, supported and seconded by Michigan Heart Team SUPPLEMENTAL BRIEF, PAGE 1

Doctor Rashid on Blau's discharge orders. (Attached Exhibit A; and Doc#13, Pq ID 193).

- 3. Upon returning to the JCF prison, Blau requested this specialist-ordered diet. JCF Dietician, Defendant Angela Fortescue reviewed Blau's medical file and, based on her expertise, denied Blau this diet (Doc#13, Pg ID 197), thus forcing him to continue eating the high fat, high cholesterol main line diet or suffer from lack of nutrition. (See attached Exhibit B, and Doc #14, Pg ID 366-402.)
- 4. By October 2016, Blau again suffered daily from unstable angina, which caused severe chest pain, headaches, jaw & neck pain, shortness of breath, shoulder pain, dizziness, nausea and syncope (passing out when standing up too quickly). (Pg. ID 209-213)
- 5. After nine months of daily pain and suffering, in July 2017, Blau underwent another heart surgery and a stent was placed in a 80% blockage in his "widowmaker" artery. Operating surgeon, Doctor Elizabeth Pielsticker, ordered a "vegan, low fat, low cholesterol, 2000mg" diet. (See enclosed Exhibit A)
- 6. In February and March 2018, Blau suffered three strokes (transient ischemic attacks), during which he lost feeling, strength, and mobility on his left side for more than two days and temporarily lost vision for several hours. (See Exhibits for Motion to Supplement Reply (Doc#58)).
- 7. A subsequent MRI determined that brain hemorrhage was not the cause, meaning that these strokes were caused by clot particles breaking off from Blau's heart blockages and getting caught in his brain, an event which means the chances of recurrenceand serious SUPPLEMENTAL BRIEF, PAGE 2

permanent damage have increased dramatically.

- 8. In April 2018, cardiologist Dr. Cathy Glick examined Blau and diagnosed the strokes, referring Blau to a neurologist and again ordering the low fat, low cholesterol diet, which should consist "predominantly of fruits and vegetables and lean unbattered protein." (Exhibit A)
- 9. During this period described above, Blau self-regulated his diet and dropped 33 pounds, from 218 to 185 pounds. (See Exhibits for Motion to Supplement Reply (Doc#58))
- 10. Today, Plaintiff Blau continues to suffer from CAD and it's painful symptoms, including angina, which he treats with medications, including nitroglycerin.

### DEFENDANT ANGELA FORTESCUE, REGISTERED DIETICIAN

No exhaustion defense was raised for Fortescue.

Blau has raised several relevant issues of material fact for a jury to decide as to whether Fortescue violated Blau's clearly established 8th Amendment right.

Blau has provided the therapeutic diet orders of several cardiovascular specialists. See Exhibit A.

Blau has provided medical record documentation that Fortescue reviewed Blau's medical file and made her own medical determination to supercede and deny the specialists' orders. (Doc#13, Pg ID 197)

Fortescue has admitted in her affidavit (Doc#11-2, Pg ID 51) that she is not a cardiovascular specialist or expert. She has admitted in that same affidavit that she is not even a doctor, SUPPLEMENTAL BRIEF, PAGE 3

physician's assistant, or nurse. Her opinion is NOT a medical opinion, and in no way qualifies as a "disagreement between medical professionals." Despite her limited training in nutrition, she admits by omission that she has no training in cardiovascular nutrition, which is a separate specialty usually practiced in cardiovascular health clinics, such as the Cleveland Clinic or U of M. This dispute is between several highly trained, experienced cardiologists (who all agree with each other) and a non-medical MDOC staffer—not a difference of opinion between highly trained medical peers.

Blau has provided evidence--in medical documents and properly written declarations--that Fortescue was deliberately indifferent to his serious medical needs:

- (1) Blau had serious medical condition (coronary artery disease; Doc #13; Pg ID 193-195);
- (2) Fortescue knew of this condition and the serious risks to Blau's health (Pg ID 197);
- (3) Fortescue knew of the specialists' orders and the risks of ignoring or denying these orders; and
- (4) Fortescue denied Blau's medical treatment (therapeutic diet).
- (5) The mainline diet is high in fat and cholesterol.

Judge David Grand ruled that Plaintiff Hodges raised issues of material fact where the Defendant ignored specialists' orders in Hodges v. Corizon 2016 U.S. District LEXIS 97349, Eastern District. In support, Judge Grand followed another E.D. Michigan case Rhinehart v. Scutt 2014 U.S. Dist LEXIS 150229, at \*20-21, where the Court refused to dismiss the plaintiff's deliberate indifference claim, noting that "Evidence that a prison doctor ignored an outside specialist's instructions for a prison inmate is sufficient to survive SUPPLEMENTAL BRIEF, PAGE 4

a motion for summary judgment on a deliberate indifference claim" (quoting Weeks v. Hodges 871 F. Sup 2d. 811, 821 (N.D. Ind 2012). [See NOTE below.]

Both these cases followed a 7th Circuit case, Gil v. Reed, 381

F.3d 649 (7th Cir. 2004), where the physician, Dr. Reed, was found deliberately indifferent when he failed to adhere to treatment recommendations imposed by an outside physician. The court disagreed that his actions were "simply an exercise of medical judgement rather than deliberate indifference," stating that the fact that he explicitly disregarded the specialist's instructions created a genuine issue of material fact as to the deliberate indifference, at 664.

Judge Grand referred to a much followed case, <u>Jones v. Simek</u>, 193 F.3d 485 (7th Cir. 1999), where the Court held that the refusal to follow the specialist's advice, if proven, met the standard for deliberate indifference and thus merited denial of summary judgment. See also <u>Perez v. Fenoglio</u> 792 F.3d 768 (7th Cir. 2015)(Plaintiff stated a claim for deliberate indifference where he alleged that a prison doctor ignored the treatment recommendations of outside specialists).

Judge Grand summarized that while a mere difference between one physician and another might not necessarily constitute deliberate indifference, ignoring a specialist's directives raises a material question of fact and must go to trial. Fortescue clearly ignored the specialist, clearly knew the serious risks to Blau, and violated a bright red line in both the medical and legal professions.

#### DOES NOT APPLY

[NOTE: the Rhinehart v. Scutt, supra, standard was subsequently SUPPLEMENTAL BRIEF, PAGE 5

modified by the Sixth Circuit in Case No. 17-2166 to include a requirement that, where doctors disagreed, the Plaintiff must show medical evidence of further harm due to the lack of treatment. That standard does not apply to this case because Fortescue is not a doctor: it was not a difference of medical opinion between doctors, or peer medical experts. But even if this Court grants Fortescue this unwarranted promotion, Blau has proven with verifiable medical evidence that he endured months of further suffering, a second heart surgery, and three life-threatening strokes. With the proper low fat diet, as this Court's chosen nutrition expert Dr. Alaimo verified, medical evidence proves this disease could be checked and reversed. [See Dr. Alaimo Nutritional Analysis Report, Page 2, Notes 1, 2, & 3; Attached Exhibit D.]

U.S. App. LEXIS 2014 (6th Cir. Feb. 9, 1990) claiming that "disatisfaction with the level of his low cholesterol diet not sufficient to support an eighth amendment violation" (Doc#16, Pg ID 238. This is absolutely incorrect and the only relation it has to this case is the mention of a low cholesterol diet. There was no specialist order, and the case was dismissed because, unlike this case, that plaintiff had no evidence to substantiate his claims.

#### THE BOTTOM LINE

Fortescue knew that the law required her to follow specialists' orders. Fortescue knew that to deny Blau's therapeutic diet was to place him at risk for further heart damage. And Fortescue's denial not only placed Blau at high risk, he suffered tremendously because of it.

#### DEFENDANT HEIDI WASHINGTON, MDOC DIRECTOR

Defendants argue that Blau has not satisfied the exhaustion requirement with respect to Director Washington because Blau did not name her by name in his grievance.

The only grievance relevant to this case is GRIEVANCE #JCF 1608 1605 12h1; Doc#11-4, Pg ID 8285 (Attached as Exhibit C). Respondents addressed this grievance on its merits at all three steps.

Additionally, Blau opened the grievance by stating:

"This grievance is against Angela Fortescue, R.D., Corizon, MDOC, and all medical personnel responsible for denying the cardiologist prescribed therapeutic diet."

In addition to the fact that Blau listed MDOC, of which Washington is the director, Blau used language clearly intended to include all parties involved in the denial. The law is clearly established in the Sixth Circuit that when prison officials decline to enforce their own procedural requirements and opt to consider otherwise defaulted claims on the merits, so will the courts (Reed-Bey v. Pramstaller, 603 F.3d 322 (6th Cir. 2010) HN3. Additionally, Blau concluded the grievance by stating:

"Let all deficiencies be identified to meet exhaustion under 42 USC 1997e"

#### DIRECT INVOLVEMENT

Defendants seek to distinguish this case from <a href="Reed-Bey">Reed-Bey</a> by claiming that Washington was not personally involved, thus no respondent superior can apply. Washington, however, did get personally involved after Blau pled with her to help him, via an SUPPLEMENTAL BRIEF, PAGE 7

email from his wife (Doc#13, Pg ID 191), to which Washington responded:

"I have followed up on your concerns related to your husband's dietary needs. I have consulted with Chief Medical Officer, Health Unit Manager, and a second Dietician. All are in agreement that the nutritional assessment and plan that were completed by the MDOC Dietician are appropriate."

Director Washington knew of Blau's surgery, of his athersclerosis, and the obvious risks to his health of eating a high fat diet. The MDOC main line diet is high in fat, high in cholesterol, with no low fat substitutes for many of the dishes such as pizza, grilled cheese, and mac & cheese. (See Doc #14, Pg ID 366-402; see Plaintiff's Declaration, Attached Exhibit B). Washington chose to disregard these specialists' orders and to support Fortescue's denial.

There is a question of material fact for a jury to decide whether:

- (#1) Defendant Washington's consultation with three medical staffers about Blau's therapeutic diet and then responding to Blau's request with a personal denial constitutes "personal involvement":
- (#2) Defendant Washinton's personal denial of Blau's therapeutic diet, in contradiction to several cardiologist/specialists' orders, constitutes deliberate indifference to Blau's serious medical needs.

MDOC DIRECTOR IS LIABLE FOR MDOC POLICIES

In his grievance, Blau listed MDOC, to include its policies

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which cannot be grieved directly per PD 03.02.130(F)(1) which states:
"A grievant may not grieve the <u>content</u> of a policy or procedure except as it was specifically applied to the grievant" (meaning whether or not it was correctly applied to grievant). Had Blau cited the lack of policy as a reason for the denial, the grievance would have been rejected and exhaustion incomplete. The question, as the <u>Reed-Bey</u> court pointed out, is whether Defendants were given a "fair opportunity" to address the grievance on the merits (HN2), and, in giving this "fair notice," Plaintiff/grievant should not need a law degree to navigate the process where "prison administrators thwart inmates from taking advantage of a grievance process through machination, misrepresentation, or intimidation." <u>Ross v. Blake</u>, 136 S.Ct. 1850 (2016).

As the Eastern District's Honorable Judge Laurie J. Michelson ruled in <u>Johannes v. Washington</u>, 2016 U.S. Dist. LEXIS 43165, an issue with policy implicates the MDOC Director, who, in this case as in that, is Heidi Washington. <u>Blau has raised a question of material fact for a jury to decide whether this grievance gave Defendant Washington fair opportunity to investigate, analyze, and address all the causes and reasons for the denial of a life-saving diet.</u>

MDOC <u>does</u> have low fat and low sodium diets (See attached Exhibit E)--and this whole lawsuit would have been avoided had Defendants simply put Plaintiff on the diet when the specialists ordered it. It would not have required a transfer, or any hardship, because Plaintiff was already at a facility (JCF) equipped for this diet and providing it to other prisoners.

SUPPLEMENTAL BRIEF, PAGE 9

MDOC policy, however, does <u>not</u> provide for this diet to be administered to heart patients. In fact, it does not have <u>any</u> diet for coronary artery disease (CAD) patients, all of whom need a low fat, low cholesterol diet to preserve their lives and ease their suffering. The MDOC Diet Manual, page 155 (Attached Exhibit E), "Hyperlipidemia Diets," discusses a low fat, low cholesterol diet for heart patients:

"Hyperlipidemia appears to be a significant risk factor for the development of coronary heart disease. Serum lipid levels may be lowered by decreasing the intake of total fat, saturated fat, cholesterol, and by achieving desirable body weight. Prisoners with established vascular disease...may be referred to the dietician for <u>counseling</u> on self-selecting a low fat/low cholesterol diet from the regular meal line. A hyperlipidemia diet is not available as a therapeutic diet tray." (See attached Exhibit D)

CAD is so common and serious that it's the #1 killer of Americans, and every layperson knows that you must eat a low fat and low cholesterol diet when you have CAD, Blackmore v. Kalamazoo County, 390 F.3d 890 (6th Cir. 2004) (Claim is stated where "the need for medical care was so obvious that even a layperson would easily recognize the necessity for a doctor's attention"). But despite thousands of heart patients currently dying within the MDOC, no policy exists to provide them with a low fat, low cholesterol diet.

Defendant Washington is directly liable for this policy of deliberate indifference.

SUPPLEMENTAL BRIEF, PAGE 10

A jury must decide this relevant question of material fact, that this serious deficiency in policy directly affected denial of Blau's diet, evidenced in Fortescue's statement "you do not meet the criteria for a therapeutic diet." (Doc #13, Pg ID 197). That is because there is no therapeutic diet criteria for CAD patients, so despite months of debilitating pain and near inability to stand or walk, two heart surgeries, and three strokes, there is no policy provision that a CAD and cerebrovascular patient receive a therapeutic diet.

#### RELIEF REQUESTED

WHEREFORE, Plaintiff Blau prays this Honorable Court will DENY Defendants' motion for summary judgment and GRANT Plaintiff's Motion for Appointment of Counsel.

Respecfully submitted,

Jane Den

Date: 4-28-19

James Blau

I mailed a copy of this Brief in Opposition to Summary Judgment to Defendant's attorney at the address on page one of this brief, by placing it in the US Mailbox in C Unit, on April 29, 2019.

James Blau

## EXHIBIT A

CARDIOLOGIST ORDERS FOR LOW FAT, LOW CHOLESTEROL DIET

BLAU, JA. Ē.

Allegiance Health - 6N

PTDCinst From: 06/19/2016 14:15

To: 07/19/2016 14:15

Rm-Bed: 605 - 0

MRN: 769972

Admit Dt: 07/16/2016 03:05

Age: 47 yr Gender: M MD: Rashid Danial Md, 1348, MD

Requested: 07/19/2016 14:15 (MS)

DOB: 04/13/1969 Acct: 12441546

Opt Out: No

Page 1 of 4

Patient Discharge Instructions for: JAMES E. BLAU

Allergies: carbamazepine

Most Recent Vital Signs:

Discharge Order Set Unstable Angina, NSTEMI (Non-ST elevation MI), STEMI (ST-elevation MI).

**DISCHARGE TO:** other: Prison.

**DISCHARGE DIET** Sodium 2000 mg, no caffeine, low cholesterol, low fat.

DISCHARGE Requirement ACE Inhibitor (ACE-I) ordered.

Angiotensin II Receptor Blocker (ARB) contraindicated Taking/prescribed

ACE Inhibitor (ACE-I),.

Aspirin ordered.

Beta-blocker ordered.

Statin ordered.

BLAU, JAMES E. Rm-Bed: 605 - 0

Acct: 12441546 MRN: 769972 DOB: 04/13/1969

**PTDCinst** 

Page 1 of 4 Interim MICHIGAN DOC AUTHORIZATION LETTER

Sommer	WICHIGAN DOC AUTHORIZ	ZATION I ETTED	
Service Authorized:	Outpatient Procedure - Cardiovascular	TOTAL ENTIRE	
Effective Date:	5/8/2017		
Responsible Facility:	G ROBERT COTTON CORRECTIONAL FACILITY	Visits Authorized: 1	
Tracking Number:	00626307		
Provider:	UNKNOWN PROVIDER		
Note to Provider			

- This health plan is administered by Blue Cross Blue Shield of Michigan. While coverage remains in force, members are entitled to benefits under the applicable plan, subject to exclusions and limitations.
- Participating doctors and hospitals are independent providers and are neither agents nor employees.
- Please see the patient identification information at the bottom right of this form for claim information.



A nonprofit corporation and independent licensee of Blue Cross and Blue Shield Association.

Provider Services: 1-800-676-2583

Company Name:

Corizon Michigan Department of Corrections

Group Number:

71499

The consulting physician should complete this section. The completed form will be sealed in the attached envelo

		completed form will be sealed	in the attached envelope ar
Ot must follow bus a	ucal Summary of Local S	or Attached Report	n deet
Follow-up visit needed (include *** For security and safety, please Crels has Signature of Consulting Physician	e do not inform par	tient of possible follow-up a 5/15/17  Date	ppointments. ***  0800
Site Medical Provider	Reviewed	d By:  5(16(1)  Date	1444 Time
Corizon: REFERRAL LETTER	Name: Inmate Number: D.O.B.	Patient Identification  BLAU, JAMES  214995	
		04/13/1969	

769972 HP O BLAU, JAMES EDWARD 5/15/17 AD:N OF:N

M 4/13/69 048Y ACT#12883476





April 17, 2018

Blau, James (04/13/1969) Visit Date: 04/17/2018 01:00 PM

Today's Provider: Cathy Glick MD, Location: Michigan Heart Jackson

#### **History of Present Illness**

Thank you for asking me to see Mr. Blau in cardiology consultation on April 17, 2018. He is a 49-year-old white male, prisoner, who we first met in the summer 2016 when he presented with angina, in a positive Myoview stress test. Heart catheterization showed high-grade RCA disease and that was stented. About 12 months later he had recurrent symptoms and a repeat heart catheter showed restenosis of the RCA lesion that was restented. He's been on aspirin and Plavix uninterrupted since then. He is also on Zocor and Coreg.

He has been very unhappy with the kind of food that's available to him and he is not able to follow a low-fat and low-cholesterol diet.

He's had some recurrence of symptoms, with some pain on the right side of his chest which has lasted for hours and is not easily relieved with him. There has been associated fatigue and nausea. He has been short of breath. He's also had left-sided numbness that lasted for 2 days and has had some visual disturbance. His EKG today is normal apart from being in a sinus bradycardia. His last LDL cholesterol was normal one year ago.

#### Assessment

Mr. Blau has had CAD with stenting to the RCA in July 2016 and May 2017. He's had recurrence of somewhat atypical symptoms. He has also had some neurological symptoms that require evaluation. I do not know his recent cholesterol level. He is on a reasonable combination of medications. He is unsuccessful in requesting a healthy low-fat low-cholesterol diet at the prison.

#### Plan

He will need to follow a low-fat and low-cholesterol diet, predominantly fruits and vegetables and lean unbattered protein.

If he has chest pain that does not relieve with 3 nitroglycerin 5 minutes apart, he needs to be sent to the emergency department.

I have ordered an adenosine Cardiolite stress test. If that is abnormal, showing recurrent ischemia, then I will set up cardiac catheterization with possible redo angioplasty. The patient is aware of the cardiac catheterization procedure including the indications, benefits, risks and complications and agrees to proceed in this fashion.

If he has a heart catheter and does not require further intervention, then I think he will need GI evaluation for these symptoms.

Additionally, because of these neurological symptoms, I agree with carotid ultrasound that has been ordered, and I think he will need a full neurological consultation.

We will look for the results of his most recent lipid profile.

Blau, James 000001378721 04/13/1969 04/17/2018 01:00 PM Page: 1/3

## EXHIBIT B

PROPER DECLARATION OF PLAINTIFF JAMES BLAU

#### DECLARATION OF JAMES BLAU

- 1. On June 5, 2016, after months of severe unstable angina, Plaintiff I had a consultation with cardiologist Dr. Cathy Glick. Dr. Glick ordered a heart catheterization and a low fat, low cholesterol, low sodium diet for me.
- 2. On June 15, 2016, I suffered a severe myocardial event and underwent an emergency heart surgery where a 90% blockage was discovered in my right coronary artery. Cardiovascular Surgeon Jonovich diagnosed athersclerosis (coronary artery disease), placed a stent in the blockage, and ordered a low fat, low cholesterol 2000mg sodium diet, supported and seconded by Michigan Heart Team Doctor Rashid on my discharge orders. (Doc#13, Pg ID 193).
- 3. Upon returning to the JCF prison, I requested this specialist-ordered diet.
- 4. JCF Dietician Angela Fortescue reviewed my medical file and, based on her expertise, denied me this diet (Doc#13, Pg ID 197).
- 5. Fortescue's denial forced me to continue eating the high fat, high cholesterol main line diet or suffer from lack of nutrition. (See Doc #14, Pg ID 366-402.)
- 6. The MDOC main line diet is extremely high in fat, transfat, and cholesterol, as I accurately and truly analyzed from the MDOC's "DETAILED MENU CYCLE NUTRITIONAL ANALYSIS" which I obtained via a third party Freedom Of Information Act request to MDOC headquarters in Lansing. (See Doc#24, Pg ID 366-402.)
- 7. Additionally, all the MDOC charts grossly overestimate the amount of safe food I can eat because unreported is the fact that ALL RICE, NOODLES, VEGETABLES, POTATOES, BAKED ITEMS, and VEGGIE/BEAN BURGERS are cooked in transfat margarine and/or vegetable oil and/or transfat margarine which the FDA itself has outlawed a cause agent of heart disease (See Pg ID 218-220).
- 8. By October 2016, I again suffered daily from unstable angina, which caused severe chest pain, headaches, jaw & neck pain, shortness of breath, shoulder pain, dizziness, nausea and syncope (passing out when standing up too quickly). (Pg. ID 209-213)
  - 9. After nine months of daily pain and suffering, in July 2017,

APRIL 30, 2019; DECLARATION, PAGE 1

I underwent another heart surgery and a stent was placed in a 80% my "widowmaker" artery. blockage in Operating surgeon, Doctor Elizabeth Pielsticker, ordered a "vegan, low fat, low cholesterol, 2000mg" diet. (See enclosed Exhibit A)

- 10. In February and March 2018, I suffered three strokes (transient ischemic attack, or TIA), during which I lost feeling, strength, and mobility on my left side for more than two days and temporarily lost vision for several hours. (See Exhibits for Motion to Supplement Reply (Doc#58)). In March 2019, I suffered two more TIAs.
- 11. A subsequent MRI determined that brain hemorrhage was not the cause, meaning that these strokes were caused by clot particles breaking off from my heart blockages and getting caught in my brain, an occurence which means the chances of recurrence and serious permanent damage have increased dramatically.
- 12. In April 2018, cardiologist Dr. Cathy Glick examined and diagnosed the strokes, referring me to a neurologist and again ordering the low fat, low cholesterol diet, which should consist "predominantly of fruits and vegetables and lean unbattered protein." (Exhibit A)
- 13. During this period described above, I self-regulated my diet and dropped 33 pounds, from 218 to 185 pounds. (See Exhibits for Motion to Supplement Reply (Doc#58))

I swear under penalty of perjury that the foregoing statements are true and correct, based on my personal observation, and that if called I am willing and competent to testify to them.

Further, I swear under penalty of perjury, that the statements made in my verified complaint [Doc#1] and Affidavit of Plaintiff James Blau [Doc#24, Pg ID 363-364] are true and correct and completely based 100% on personal observation. I know them to be facts. am competent and wiling to testify to them.

James Blau

<u>4-28-19</u> April **38**, 2019

## EXHIBIT C

GRIEVANCE #JCF 1608 1605 12h1

FULLY EXHAUSTED AT ALL THREE STEPS

Grievant's Signature

Date

White, Green, Canary, Pink - Process to Step One; Goldenrod - Grievant

Resolution must be described above.

Date Returne

# Step II Grievance Appeal Response

Grievance Number: JCF 2016 08 1605 12H1 Prisoner Name: Blau, James Prisoner Number: 214995

have reviewed your Step I Grievance, the Step I Response, and your Step II Reason for Appeal.

SUMMARY OF STEP I COMPLAINT: Grievant alleging that he was ordered by an offsite Medical Provider (MP) to have a low sodium/low cholesterol diet and is being denied a therapeutic diet. Date of incident 8/8/16.

SUMMARY OF STEP I RESPONSE: Electronic Health Record (EHR) reviewed. Prisoner accounts consulted. Grievant interviewed. Grievance form reviewed, Grievance reviewed. According to the EHR, Mr. Blau 214995 on 8/4/16 Dietary did a chart review at this time she indicates that Grievant does not require a therapeutic diet and to reinforce healthy choices off the regular meal line. Also checked his store orders from the last 2 months included items such as refried beans, spicy chili with beans, honey roasted peanuts, flour tortillas, bagels, popcorn, and crunchy peanut butter that are all high in sodium. Grievance is denied. Grievant is encouraged to access health care through the kite process to address any current health care concerns. Date of response 8/25/16.

SUMMARY OF STEP II REASON FOR APPEAL: Grievant indicates all issues, claims, parties carry over to Step II. Mailed from E unit kite box on 9/8/16. Date of incident 8/8/16.

SUMMARY OF STEP II INVESTIGATION; Upon investigation of the Electronic Health Record (EHR), Cardiac Catheter placed on 7/18/16. Grievant returned to MDOC on 7/19/16. MP appointment was on 7/24/16, MP reviewed discharge paper work and added Nitro and Plavix to Grievant's regimen per discharge orders. The MP ordered a one (1) month follow up. Grievant's cardiac medications being prescribed include; Aspirin, Zocor (statin drug), Plavix (Clopidogrel), Nitro, Atenolol and Imdur per MP order. The MP one (1) month follow up visit took place on 8/24/16, the patient reports feeling much better since stent placement. The next follow up will be in February of 2017. Grievant was encouraged to continue current medication, the MP also reviewed how to take Nitro with Mr. Blau.

Diet recommendations upon discharge on 7/19/16 were; Sodium 2000 mg, no caffeine, low cholesterol, low fat. The Registered Dietician (RD) did a chart review on 8/4/16 and notes; I have reviewed your medical record, you do not meet the criteria for a therapeutic diet. I have attached information on healthy choices on the regular meal line. Also, avoid store foods to keep sodium intake to a minimum. Pt does not require a therapeutic diet at this time. Reinforce healthy choices off the regular meal line, will send healthy choices diet info.

Grievant's store list has also been reviewed and it is noted that he is purchasing coffee and tea, he was instructed no caffeine. He is also purchasing products high in sodium (flour tortillas, natural MW popcorn, pickles, hot com chips, BBQ chips to name a few). As indicated in the Step I Grievance response; the regular meal line has 3-4 grams of sodium which is considered a sodium controlled diet. All food served on the regular diet is low fat, low cholesterol

Grievant is encouraged to access health care through the kite process to address any current health care concerns and to constructively discuss his concerns with Health Care Staff at scheduled appointments.

## CONCLUSION: Evidence

- PD 03.04.100 Health Care Services
- PD 03.02.130 Prisoner/Parolee Grievances
- PD 04.07.101, Therapeutic Diet Services

Grievance Denied: RD reviewed EHR and discharge paperwork. Grievant does not require a therapeutic diet at this time. Reinforce healthy choices off the regular meal line, was send healthy choices diet information. Allegiance usually uses the term "Cardiac diet" which our regular diet is; low fat, low cholesterol, no added salt. Documentation reflects MP determined medication treatment plan accordingly, offer reviewing discharge naper work.

	TITLE: Clinical Administrative Assistant Jackson Health Care Office Administration	
RESPONDENT SIGNATURE: Jubitina Fliken, B.F.	DATE: 9/14/16	

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ICHIGAN DEPARTMENT OF CORRECTI RISONER/PAROLEE GRIEVA	ONS I <b>NCE APPEAL FO</b> I	RM		4	835-4248 5/09 CSJ-247B
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NSTRUCTIONS: THIS FORM IS ONLY he white copy of the Prisoner/Parolee with a Step I response in a timely man	TO BE USED TO APPE Grievance Form CSJ-2 ner) <b>MUST</b> be attache	AL A STEP I GR 47A (or the gol d to the white o	EVANCE.  PER CENTED  OPY OF this form	уоц <b>\/[i]y)()(</b> i i if you appeal	een provided it at both Step
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JAMES BLAN	214995	JCF	2-44	8-8-16	9-8-16
STEP II — Reason for Appeal					
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STEP II — Response	(Lee Ott	tached		Date Rece Step II Re	eived by espondent:
Respondent's Name (Print)	A. Quilluk Respondent's Signatu	Z/ re	9-14-16 Date	Date Ret Grievant	
STEP III — Reason for Appeal					
ALL ISSUES AND P	ARTIES FROM ST	EP I CARRI	E I TO S E	PIII.	
MAITE I FROM JCF	E UNIT MAIL EO	x on 9/15/	/16		
NOTE: Only a copy of this app	peal and the respon	nse will be r	eturned to yo	ou.	
STEP III — Director's Response	e is attached as a sep	parate sheet.			
DISTRIBUTION: White - Process to			cess to Step II;	Goldenrod - G	rievant

## Step III Grievance Response

JAMES BLAU

214995

JCF 16081605

Grievant alleges he is inappropriately being denied access to a low sodium therapeutic diet.

All relevant information within the electronic medical record has been reviewed. Step I and Step II appropriately addressed this grievance and are affirmed at the Step III appeal. The Medical Provider is the medical authority and is responsible to manage the treatment plan of the patient, (to include medical details, therapeutic diets, etc.) Disagreement with the treatment plan does not constitute a denial of care.

Grievance appeal denied.		
Response of Bureau of Health Care Services	Date:	11/1/2016
Approved: Shore Day RUZ  R. Harbaugh, RN	Date:_	11/10/16
Rollissell	· <b>M</b> 0	V 1 4 2016
Richard D. Russell Manager, Grievance Section Office of Legal Affairs	Di	ate Mailed

Ref.#

25472

C:

Warden

Regional Health Care Administrator

Southern

Grievant

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REQUEST	FOR	JUDICIAL	NOTICE

#### EXHIBIT D

PAGE 2 OF DR. ALAIMO'S NUTRITIONAL ANALYSIS OF BLAU'S DIET

AS ORDERED BY JUDGE TARNOW

THREE FOOTNOTES DESCRIBING MEDICAL EVIDENCE SHOWING RELATIONSHIP

BETWEEN LOW FAT DIET AND CAD REVERSAL

- Institute of Medicine (US) Committee on Examination of Front-of-Package Nutrition Rating Systems and Symbols; Editors: Ellen A. Wartella, Alice H. Lichtenstein, and Caitlin S. Boon. Appendix BFDA Regulatory Requirements for Nutrient Content Claims, Washington (DC): National Academies Press (US); 2010.
- Ornish, et al. Intensive Lifestyle Changes for Reversal of Coronary Heart Disease. *JAMA*. 1998;280:2001-2007

#### **Facts of the Case:**

After diagnosis with coronary artery disease, Mr. Blau received discharge instructions from medical professionals for his diet. I have been provided with menus, recipes and nutritional analysis of the foods that Mr. Blau is offered in the prison. In addition, I have received his store orders and photographs of the foods he purchased that include ingredients and nutrition facts labels.

#### **Definitions:**

### Low fat

In 1993, the Food and Drug Administration and US Department of Agriculture published final rules defining nutrient content claims including a definition of "low fat". For meals and main dishes, Low fat is defined as "3 g or less per 100 g and not more than 30% of calories from fat". (Institute of Medicine (US) Committee on Examination of Front-of-Package Nutrition Rating Systems and Symbols; Editors: Ellen A. Wartella, Alice H. Lichtenstein, and Caitlin S. Boon. Appendix BFDA Regulatory Requirements for Nutrient Content Claims, Washington (DC): National Academies Press (US); 2010; https://www.ncbi.nlm.nih.gov/books/NBK209851/).

However, less than 30% of kilocalories is not the only definition of low fat. For example, three studies have used lower % fat diets to reverse heart disease:

- 1) in the randomized controlled Lifestyle Heart Trial conducted by Ornish, et al., lifestyle changes for five years consisting of a diet of 10% of kilocalories from fat, aerobic exercise, stress management training, smoking cessation and group psychosocial support led to a regression of coronary atherosclerosis as measured by arterial stenosis whereas the control group increased arterial stenosis (Ornish, et al. Intensive Lifestyle Changes for Reversal of Coronary Heart Disease. *JAMA*. 1998;280:2001-2007; https://www.ncbi.nlm.nih.gov/pubmed/9863851);
- 2) a study conducted by Esselstyn et al. in which patients were asked to adhere to a diet that derived less than 10% of its kilocalories from fat and take lipid lowering drugs found that arterial stenosis decreased on average by 7% in the patients followed for 5.5 years (Esselstyn CB, et al. A strategy to arrest and reverse coronary artery disease: a 5-year longitudinal study of a single physician's practice. J Fam Pract. 1995 Dec;41(6):560-8. https://www.ncbi.nlm.nih.gov/pubmed/7500065);
- 3) a study conducted Roberts et al. placed obese men on a high-fiber, 12-15% fat diet with daily aerobic exercise in a 3-wk residential program and found significant reductions in body mass index, all serum lipids and lipid ratios, as well as blood markers for cardiac inflammation (Roberts CK, et al. Effect of a short-term diet and exercise intervention on oxidative stress, inflammation, MMP-9, and monocyte chemotactic activity in men with

## EXHIBIT E

EXCERPTS FROM MDOC DIET MANUAL SHOWING THAT

LOW FAT AND LOW FAT/LOW CHOLESTEROL DIETS

NOT AVAILABLE TO CAD PATIENTS

#### Contract 071B4300009



## **HYPERLIPIDEMIA DIETS (HLP DIETS)**

Hyperlipidemia appears to be a significant risk factor for the development of coronary heart disease. Serum lipid levels may be lowered by decreasing the intake of total fat, saturated fat, cholesterol, and by achieving desirable body weight.

Prisoners with established vascular disease, significant risk factors for atherosclerosis, and/or serum cholesterol or lipoproteins outside the desirable range may be referred to the dietitian for counseling on self-selecting a low fat/low cholesterol diet from the regular meal line. A hyperlipidemia diet is not available as a therapeutic diet tray. The prisoner will be advised to self-select from the regular menu using the Standardized Healthy Choice Dietary Options, which are available at all MDOC facilities. The statewide regular menu will provide no more than 30% of total calories as fat and saturated fat will not exceed 10% of total calories. By using the "Standardized Healthy Choice Options" and occasionally the vegetarian choices, the prisoner will be able to select a diet which is even lower in total and saturated fat. The prisoner will be instructed and provided with educational materials on:

- o weight control
- o selecting lower fat foods
- o selecting healthy choices from the prisoner store
- o restricting refined sugars
- o changing risk factors such as smoking and inactivity

TYPE OF FOOD	CHOOSE	<u>LIMIT</u>	AVOID
MILK GROUP  2 servings daily	Skim milk, cottage cheese	2% milk; Mozzarella cheese (no more than 1 ounce per day)	Whole milk, non-dairy creamer, cream cheese, ice cream, ice cream bars, sour cream, milk shakes
MEAT GROUP  LIMIT to 4 – 6 ounces daily	Poultry without skin; lean cuts of meat, trimmed; egg whites, baked fish, tuna in water	Limit to 3 oz/ day: Polish sausage Smoked sausage Turkey hot dogs Turkey lunch meats Remove skin and breading from fish and chicken Chicken salad, tuna in oil (drain well); hamburger, pork chops, Salisbury steak, peanut butter (limit to 2 Tbsp/ day) 6.5	Spam, corn beef, bacon, egg yolks (limit to 3 per week); canned roast beef

Below (in black print) is page 6.2 from the MDOC Diet Manual, which lists the medical criteria for ordering a Low Fat Diet. Keep in mind that all medical cases are not the same, this criteria on page 6.2 is to be used as a guideline. The RD evaluates each individual to determine if he/she meets the medical criteria to receive a Low Fat therapeutic diet. In other words, just because the prisoner is diagnosed with gallbladder disease, does not automatically qualify him/her for a therapeutic Low Fat diet. Every prison chow hall offers the Healthy Choice options which allow them to self-select many "restrictive" diets from the regular meal line (see page 2.5 of the MDOC Diet Manual for further explanation), including those prisoners who choose to limit the fat in their diet. For those prisoners on "meals-in", they can complete a written request to receive the Healthy Options on their delivered food tray.

## **LOW FAT DIET**

<u>PURPOSE</u>: A low fat diet may be indicated in the treatment of gallbladder disease or malabsorption syndromes. Fat restriction may help to reduce symptoms related to fat ingestion.

#### **INDICATED USES:**

<u>In Chronic Pancreatitis</u> – a low fat diet may be used in conjunction with enzyme replacement in controlling pancreatic steatorrhea.

<u>In Fat Malabsorption</u> – fat malabsorption may occur as a complication of intestinal bypass surgery, short bowel syndrome, cirrhosis or gastrectomy. The need for fat restriction is established by tests for fecal fat.

<u>In Gallbladder Disease</u> – a low fat diet may be of benefit in the symptomatic patient with gallbladder disease. The purpose of the diet is to lessen fat-induced gallbladder contractions. There is little reason to restrict fat intake following gallbladder surgery.

**DESCRIPTION:** The low fat diet is modified to restrict dietary fat to an average of 40-60 grams per day. There is no restriction on the type of fat or dietary cholesterol. This is a moderately restricted diet which limits total fat to 15-20% of total calories. The diet restricts certain foods because of their high fat content such as fried foods and whole milk. The low fat diet does not restrict caffeine, spices, refined sugars or total calories. The diet is served in 3 meals.

ADEQUACY: The diet is nutritionally adequate based on the RDA/DRI except for pregnancy.

<u>ORDERING PROCEDURE</u>: This diet should be ordered as a "Low Fat Diet." It should not be ordered if a diet low in cholesterol for hyperlipidemia is desired. It may be ordered in combination with many therapeutic diets but it is not compatible with high protein diets, diets for reactive hypoglycemia or higher calorie diabetes diets.

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JAMES Blav #214995 LAKELAND CORRECTIONAL FACILITY 141 FIRST ST. COLOWATER, MI 49036



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Clerk of the Court U.S. DISTRICT COURT 231 LAFAYETTE BLVD. DETROIT, MI 48226

